



PATENT

Case Docket No. MVMDINC.001CP1

Date: October 21, 2003

3761\$

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Eric J. van der Burg et al.  
Appl. No. : 09/435,562  
Filed : November 8, 1999  
For : METHOD AND DEVICE FOR  
LEFT ATRIAL APPENDAGE  
OCCLUSION  
Examiner : Glenn K. Dawson  
Group Art Unit : 3761

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-  
1450, on

October 21, 2003

(Date)

Sabin H. Lee, Reg. No. 32,745

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## TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with eight (8) references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Sabin H. Lee  
Registration No. 32,745  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

**INFORMATION DISCLOSURE STATEMENT**

Applicant	:	Erik J. van der Burg et al.
App. No.	:	09/435,562
Filed	:	November 8, 1999
For	:	METHOD AND DEVICE FOR LEFT ATRIAL APPENDAGE OCCLUSION
Examiner	:	Glenn K. Dawson
Group Art Unit	:	3761

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing eight (8) references that are also enclosed.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c)(2) before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10-21-03

By: 

Sabing H. Lee  
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(949) 760-0404

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MVMDINC. 001CPIAPPLICATION NO.  
09/435,562INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
van der Burg, et al.FILING DATE  
November 8, 1999GROUP  
3761

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	6,139,573	10/31/00	Sogard et al.			
	2.	6,551,303	04/22/03	Van Tassel et al.			
	3.	US 2002/0111647 A1	08/15/02	Khairkahan et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	4.	WO 99/23976	05/20/99	PCT				
	5.	WO 99/44510	10/09/99	PCT				
	6.	WO 00/16705	03/30/00	PCT				
	7.	WO 00/67669	11/16/00	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	8.	PCT Search Report for co-pending Application PCT/US99/26325 dated February 15, 2000

SHL-8758.DOC:sls  
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EXAMINER	DATE CONSIDERED
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	